

First Exon Length Controls Active Chromatin Signatures and Transcription

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SUMMARY

Here, we explore the role of splicing in transcription, employing both genome-wide analysis of human ChIP-seq data and experimental manipulation of exon-intron organization in transgenic cell lines. We show that the activating histone modifications H3K4me3 and H3K9ac map specifically to first exon-intron boundaries. This is surprising, because these marks help recruit general transcription factors (GTFs) to promoters. In genes with long first exons, promoter-proximal levels of H3K4me3 and H3K9ac are greatly reduced; consequently, GTFs and RNA polymerase II are low at transcription start sites (TSSs) and exhibit a second, promoter-distal peak from which transcription also initiates. In contrast, short first exons lead to increased H3K4me3 and H3K9ac at promoters, higher expression levels, accuracy in TSS usage, and a lower frequency of antisense transcription. Therefore, first exon length is predictive for gene activity. Finally, splicing inhibition and intron deletion reduce H3K4me3 levels and transcriptional output. Thus, gene architecture and splicing determines transcription quantity and quality as well as chromatin signatures.

INTRODUCTION

It is common knowledge that transgenes lacking introns are poorly expressed compared to those containing introns (Brinster et al., 1988), and removal of introns from endogenous genes markedly reduces transcription (Furger et al., 2002). How introns contribute to transcription remains an open question. One suggestion is that this phenomenon is due to sequence-specific elements present in introns (Parra et al., 2011). A distinct possibility is that splicing regulates transcription through positive feedback. Splicing could reinforce transcription if splicing factors bound to nascent RNA interact with RNA polymerase II (Pol II). For example, spliceosomal U1 snRNP associates with Pol II and has been implicated in enhancement of pre-initiation complex formation (Damgaard et al., 2008; Das et al., 2007). Other studies have implicated snRNPs and SR proteins in stimulating transcription elongation (Fong and Zhou, 2001; Lin et al.,

2008). Recently, roles for chromatin in the regulation of splicing have emerged (Carrillo Oesterreich et al., 2011; Luco et al., 2011), suggesting additional modes of communication between splicing and transcription in vivo.

The stimulatory effect of intron insertion on transcription is dependent on promoter proximity (Furger et al., 2002; Rose, 2004). Thus, potential gene regions and features that might reveal relationships between splicing and transcription may be positioned near promoters. We became interested in the “activating” H3K4me3 and H3K9ac marks, which are concentrated globally near promoters and are proportional to Pol II occupancy and gene activity (Barski et al., 2007; Bernstein et al., 2005; Rahl et al., 2010; Zhou et al., 2011). H3K4me3 also interacts indirectly with the U2 snRNP and promotes co-transcriptional splicing (Sims et al., 2007), showing the influence of this activating mark on splicing. Importantly, both activating marks potentiate recruitment of the general transcription factor (GTF), TFIID, to promoter-proximal gene regions and antagonize transcriptional silencing by chromatin regulators (Klymenko and Müller, 2004; Vermeulen et al., 2007). Therefore, we sought to address the possibility that splicing may feedback to transcription via chromatin signatures near promoters.

Links between exon-intron organization and gene activity have not been examined globally. Characteristic patterns of H3K4me3 and H3K9ac near promoters have been revealed by previous analyses, in which ChIP-seq reads were aligned to TSSs. These marks peak slightly downstream of TSSs and decrease gradually over the first 1kb (Barski et al., 2007; Rahl et al., 2010). The majority of mammalian first exons are shorter than 1kb (Figure S1A), raising the possibility that first exon features may contribute to these promoter-proximal signatures. Here we investigate the role of first exons in transcription. We determine the distribution of activating chromatin marks as well as GTFs and Pol II with respect to exon-intron boundaries and use experimental models to further show that both splicing and first exon length determine chromatin signatures and transcriptional properties consistent with an enhancer-like activity.

RESULTS AND DISCUSSION

H3K4me3 and H3K9ac Are Concentrated at the Ends of First Exons

To address the possibility that activating histone marks localize to exon-intron landmarks, ChIP-seq data sets available through the human ENCODE project were analyzed (ENCODE Project

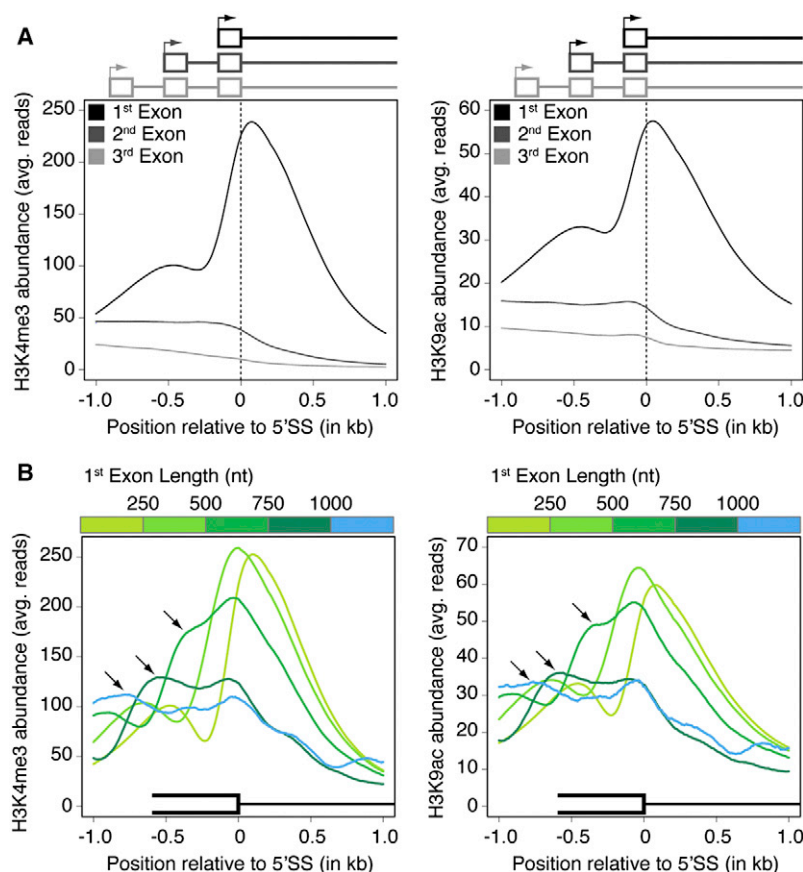


Figure 1. H3K4me3 and H3K9ac Are Enriched at the First 5'SS

Distributions of H3K4me3 (left panels) and H3K9ac (right panels) were determined by analysis of genome-wide ChIP-seq experiments in human K562 cells (ENCODE-SYDH-Histone).

(A) Average distribution of the histone marks aligned to the 5' splice site (5'SS) of first, second and third exons. Both signals peak at first 5'SSs, and no significant enrichment is observed at downstream 5'SSs. Average distributions were calculated for all annotated intron-containing genes (Table S1).

(B) Average distributions aligned to first 5'SSs of genes grouped by first exon length (see Figure S1A and Table S1), as indicated by the color code above the traces. With increasing first exon length, two distinct peaks of H3K4me3 and H3K9ac become apparent, reflecting separate promoter (arrows) and 5'SS distributions. See also Figures S1 and S2 and Table S1.

imal, and one peak occurs at the first 5'SS. In the shortest first exon group (0–250 nt), these peaks are shifted slightly downstream of the 5'SS, because the nucleosome-depleted region extends beyond the location of the 5'SS (Figure S2E). Therefore, H3K4me3 and H3K9ac signals are concentrated at both 5'SSs and promoters; when first exons are longer than 500 nt, two prominent peaks are present.

It is currently believed that promoter-proximal H3K4me3 and H3K9ac marks are present on positioned nucleosomes; an appealing scenario would be that nucleosomes are specifically positioned over first 5'SSs. However, in contrast to this model, the first positioned nucleosome is present at a constant distance from the TSS, irrespective of first exon length (Figure S2F). Furthermore, positioned nucleosomes are not detected at first 5'SSs (Figure S2F), showing that nucleosome positioning per se does not play a role in the concentration of histone marks at exon-intron boundaries. Interestingly, up to four positioned nucleosomes downstream of the TSS can be marked by H3K4me3 (Figure S2G). We conclude that concentration of activating histone marks at the ends of first exons is independent of nucleosome positioning.

H3K4me3 Levels and Position Depend on Splicing

To test whether localization of activating marks at first 5'SSs represents a functional link between splicing and transcription, we established transgenic cell lines harboring model genes that differ in intron number and organization. To maintain an endogenous chromatin context and preserve regulation, a bacterial artificial chromosome (BAC) containing the mouse *FOS* gene with ~100 kb surrounding genomic sequence was obtained. Recombineering was used to generate intronless as well as single intron versions containing either intron1 or intron2 at endogenous positions (Figure 2A). Stable HeLa cell lines were generated for each transgene, and the mouse model genes were distinguished from endogenous human *FOS* with species-specific primers. In all of the following experiments, H3K4me3 served

Consortium, 2011). H3K4me3 and H3K9ac distributions were aligned to the first three exon-intron boundaries—represented by annotated 5' splice sites (5'SSs)—of all human intron-containing genes. Strikingly, alignment of both marks to first 5'SSs yields a tight distribution, whereas no enrichment was observed at second and third 5'SSs (Figure 1A). In contrast, alignment to the TSS produces a skewed peak, centered downstream of the TSS (Figure S1B), as observed previously (Barski et al., 2007; Rahl et al., 2010). Neither mark is present at 5'SSs further downstream, even when located close to the TSS (Figures 1A and S1C). Moreover, specific concentration at the first 5'SS is reproducible among mammalian cell lines and tissues (Figures S1D–S1F). Finally, other histone modifications assayed do not show the characteristic pattern observed for the activating marks (Figures S2A–S2D). We conclude that H3K4me3 and H3K9ac marks are specifically concentrated at the ends of first exons.

If activating histone marks are present at first exon-intron boundaries, are they also located near promoters? Because first exon lengths vary among the genes in the population, distinctions between promoters and 5'SSs are obscured by global analysis. Therefore, we grouped genes according to first exon length (Figure S1A) and specifically queried H3K4me3 and H3K9ac concentrations at first 5'SSs. Remarkably, as promoters and 5'SSs move apart with increasing first exon length, two distinct peaks become visible (Figure 1B). One peak is promoter-prox-

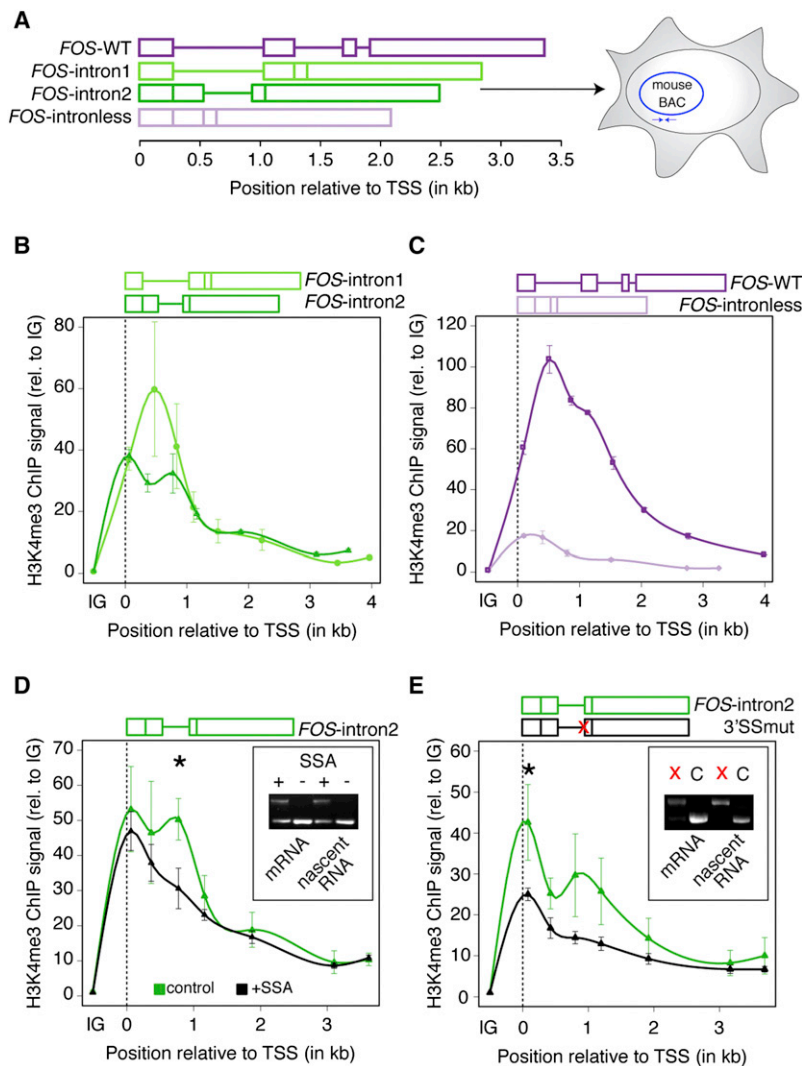


Figure 2. H3K4me3 Is Dependent on Splicing

(A) *FOS* model genes with varying exon-intron organization were created by BAC recombineering. Two single intron model genes (*FOS-intron1* and *FOS-intron2*, named with respect to the remaining intron) and an intronless version were constructed by deleting endogenous introns. Each BAC was stably transfected into HeLa cells; mouse model genes were distinguished from endogenous human *FOS* with species-specific primers.

(B) H3K4me3 ChIP profiles along *FOS-intron1* (light green) and *FOS-intron2* (dark green) reveals distinct peak positions. A single H3K4me3 peak is present near the 5' SS of *FOS-intron1*; two distinct peaks near the promoter and the 5' SS are present in *FOS-intron2*. ChIP enrichment was calculated relative to input and normalized to an intergenic region (IG). Mean \pm SEM are shown, $n = 3-4$.

(C) H3K4me3 ChIP profiles along *FOS-WT* (dark mauve) and *FOS-intronless* (light mauve). *FOS-WT* exhibits an ~ 5 -fold increase in H3K4me3 levels and a shift in peak position toward the first 5' SS. Mean \pm SEM are shown, $n = 3-4$.

(D) Inhibition of splicing with SSA leads to removal of the intron-associated H3K4me3 peak on *FOS-intron 2* (black trace). Mean \pm SEM are shown, $n = 3$. This effect is significant ($p < 0.05$), although splicing inhibition was incomplete as judged by RT-PCR of total and nascent RNA (inset).

(E) Mutation of the *FOS-intron2* 3' SS (AG to TG) severely reduced splicing (inset, C = *FOS-intron2*, X = 3'SSmut) as well as the H3K4me3 peaks near the promoter and the 5' SS (black trace). * $p < 0.05$. Mean \pm SEM are shown, $n = 3-4$.

See also Figure S3 and Table S1.

as a proxy for both activating marks, because H3K4me3 and H3K9ac distributions and functions are highly related (Figures 1, S1B-S1D, and S3A) (Vermeulen et al., 2007).

To determine whether first exon length specifies the position of H3K4me3 in promoter-proximal gene regions, ChIP was performed on the *FOS* model genes. *FOS-intron1* contains only intron1 at its endogenous position with 280 nt spacing between 5' SS and TSS (Figure 2A); on this gene, H3K4me3 is concentrated in one peak positioned over the intron (Figure 2B). In contrast, fusion of exons 1 and 2 results in a longer first exon (503 nt) in *FOS-intron2*; here, two H3K4me3 peaks—one promoter-proximal and one near the 5' SS—become detectable (Figure 2B). The separability of these two peaks agrees with the genome-wide data, showing that first 5' SS distance from the promoter determines H3K4me3 profile.

The dependence of H3K4me3 profile on the position of the first 5' SS suggests that splicing itself may affect H3K4me3. We tested this in three ways. First, H3K4me3 profiles were determined on *FOS-WT* and *FOS-intronless* genes; removal of endog-

enous introns led to a 5-fold reduction of overall H3K4me3 signal with a single small peak shifted toward the promoter, independent of changes in nucleosome occupancy (Figures 2C and S3B). We conclude that intron content and position contributes to H3K4me3 levels as well as profile.

Second, splicing was inhibited by the small molecule spliceostatin A (SSA) (Kaida et al., 2007), which decreased splicing of *FOS-intron2* mRNA by $\sim 50\%$. SSA treatment led to the loss of the second H3K4me3 peak positioned over the intron (Figure 2D). Similarly, inhibition of both transcription and splicing by DRB abolished the second H3K4me3 peak (Figure S3C). Third, mutation of intronic sequences at the 3' splice site blocks splicing more effectively and results in an overall loss of H3K4me3 signal, both close to the promoter and over intron2 (Figure 2E). None of the perturbations altered nucleosome distribution significantly (Figure S3D). Taken together, these data indicate that promoter-proximal splicing events result in marking of the first exon-intron boundary by H3K4me3.

Transcriptional Output Is Splicing-Dependent

The relationship between activating chromatin signatures, exon-intron organization, and splicing established above prompts the question of whether transcriptional output is directly affected by splicing. Taking advantage of our *FOS* model genes, we assayed transcriptional differences by RT-qPCR, using a primer

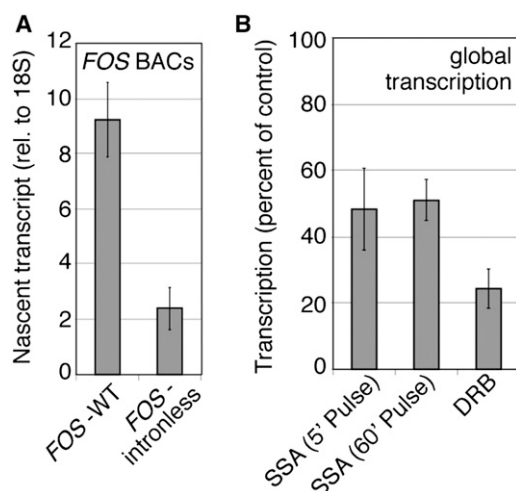


Figure 3. Transcriptional Output Is Splicing-Dependent

(A) The effect of introns on *FOS* transcription was measured by RT-qPCR on nascent RNA of *FOS*-WT and *FOS*-intronless. Normalization to 18S rRNA accounts for variation in cell number. Mean \pm SEM are shown, $n = 3$.

(B) Metabolic labeling shows the effect of splicing inhibition on global transcription. Cells were labeled with P^{32} -orthophosphate for 5 min or 1 hr in the presence or absence of SSA. Direct inhibition of Pol II transcription with DRB is shown for comparison. Incorporation upon treatment is shown as a fraction of control. Mean \pm SEM are shown, $n > 3$.

See also Figure S4 and Table S1.

downstream of the polyA cleavage site to select nascent RNA. Figure 3A shows that *FOS*-intronless exhibits 4-fold reduced transcription levels compared to intron-containing *FOS*-WT. In a second approach, potential feedback from splicing to transcription was assessed globally, by inhibiting splicing with SSA and measuring total transcription by metabolic labeling. DRB treatment indicates the expected maximum effect caused by direct inhibition of transcription to be ~ 4 -fold (Figure 3B). Global transcription was reduced ~ 2 -fold by SSA (Figure 3B), in agreement with decreased transcription of intronless *FOS* and our analysis of published tiling-microarray data (Figure S4). To test whether this effect was due to RNA degradation, the radioactive pulse was shortened to 5 min, below the time needed to transcribe the average human gene. The relative change in transcription upon SSA treatment was not dependent on the length of the pulse, indicating an effect on transcription and not on RNA stability (Figure 3B).

Short First Exons Promote Transcriptional Accuracy

How does splicing exert a positive influence on transcription? Above, we have shown that promoter-proximal profiles of activating histone marks depend on first exon length and splicing. Because the general transcription factor (GTF) TFIID binds directly to H3K4me3 (Vermeulen et al., 2007), we hypothesized that exon-intron organization influences recruitment profiles of GTFs. If so, genes with short first exons are expected to exhibit focused recruitment of GTFs near TSSs. Conversely, genes with long first exons are expected to display broader GTF profiles with peaks downstream of TSSs. To test this, ChIP-seq traces of GTFs were aligned to TSSs of genes grouped according to

first exon length. TFIID, TFIIB, and TFIIF peak at annotated TSSs for all gene groups (Figures 4A and S5A). However, genes with long first exons display decreased peak localization at TSSs and additional downstream peaks at 5'SSs (Figures 4A and S5B). These data suggest that the fidelity of Pol II recruitment to annotated TSSs may be compromised when first exons are long. Indeed, promoter-proximal Pol II profiles show a similar dependence on first exon length, and Pol II is localized to 5'SSs downstream of TSSs (Figures 4B and S5C). Finally, genes with long first exons display lower transcript levels than genes with short first exons (Figure S5D). We conclude that first exon length determines the profiles and degree to which GTFs and Pol II concentrate at annotated promoters, such that short exons—by virtue of their proximity to TSSs—provide the greatest positive feedback to transcription.

Does transcription actually initiate downstream of annotated TSSs when first exons are long? To test this, the distribution of EST 5' ends was analyzed for the different gene groups. In all groups, EST 5' ends peak around annotated TSSs (Figures 4C and S5E). Remarkably, genes with long first exons exhibit extensive TSS usage within the first exon and at the 5'SS, confirming promoter-distal initiation (Figures 4C and S5C). In contrast, short exons appear to enforce usage of canonical TSSs, in agreement with more robust localization of activating histone marks, GTFs and Pol II.

Antisense transcription is common and may reflect the availability of both DNA strands in promoter regions (Wei et al., 2011). Indeed, nucleosomes are relatively depleted along the length of all first exons (Figure S2F). Thus, initiation at promoter-distal positions described above might lead to higher levels of antisense transcription in genes with long first exons. Therefore, we asked whether exon-intron organization impacts the orientation of transcription initiation. To address this question, the fraction of sense versus antisense transcripts was analyzed for the gene groups. A significant increase in the proportion of antisense transcription was observed for genes with long first exons (Figure 4D). This indicates that spatial separation of promoter and 5'SS impacts directionality. We propose that short exons enforce sense transcription, by reducing downstream initiation.

First 5' Splice Sites Are Position-Dependent Transcriptional Enhancers

Four lines of evidence provided here indicate that exon-intron organization contributes significantly to the transcriptional properties of genes: (1) activating histone marks, GTFs, and Pol II align to promoters and first 5'SSs genome-wide, with 5'SSs determining H3K4me3 and H3K9ac profiles near promoters, (2) short first exons are associated with robust concentration of GTFs and Pol II at promoters, enforcing uniform transcription initiation at TSSs and promoting higher levels of gene expression, (3) intron-associated H3K4me3 profiles as well as global transcriptional output are splicing-dependent, and (4) sense transcription is favored at genes with short first exons. Moreover, the present findings provide an explanation for recent reports showing that H3K36me3 marks present in downstream regions of active genes are splicing-dependent (de Almeida et al., 2011; Kim et al., 2011). H3K36me3 modification is generated

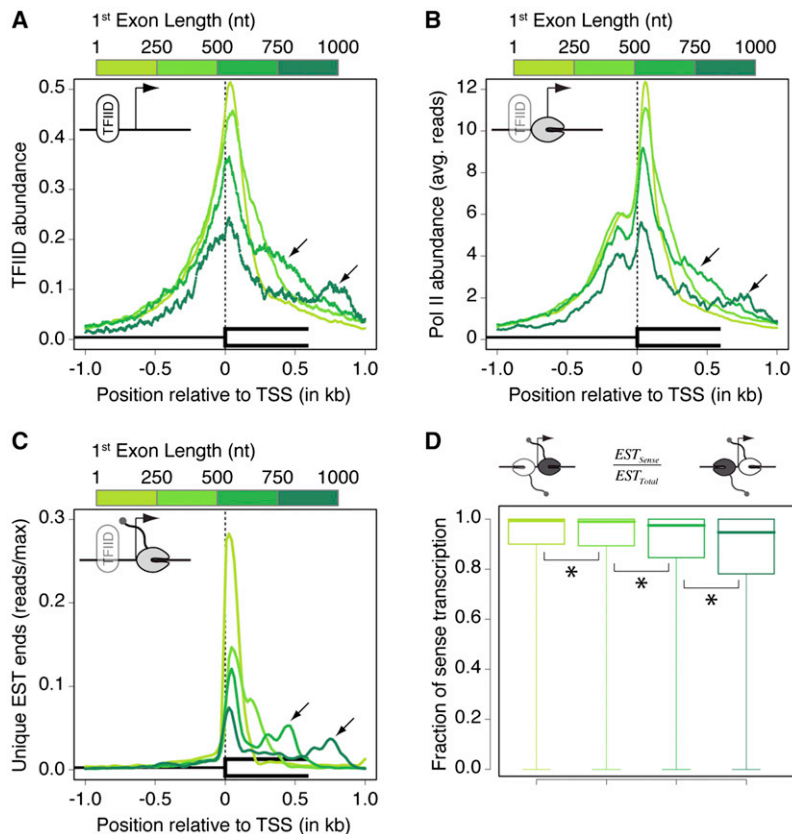


Figure 4. First Exon Length Enforces Transcription Quality

(A and B) Average distributions of TFIIID (ENCODE-HAIB-TFBS) (A), and Pol II (ENCODE-SYDH-TFBS) (B) around TSSs were determined for genes grouped according to first exon length (see Figure S1A and color-code). Peak levels at annotated TSSs decrease with increasing first exon lengths, whereas levels within first exons increase (marked by arrows).

(C) Distribution of initiation events (unique EST 5' ends) (Fujita et al., 2011) around annotated gene starts was analyzed for genes grouped according to first exon length. Average traces show that initiation events are more dispersed when genes have long first exons, qualitatively following the recruitment of Pol II (B).

(D) The fraction of sense transcription relative to total transcription was deduced for first exon length groups described above. Mann-Whitney test between each neighboring pair shows that antisense transcription is significantly increased with increasing first exon lengths ($p < 0.001$). Boxes represent values within three quartiles of the data, whereas whiskers indicate the range of the data set. Black horizontal lines in the respective boxes show median values.

See also Figure S5 and Table S1.

by the Setd2 methyltransferase, recruited by elongating Pol II; therefore, splicing-dependency of H3K36me3 is likely a consequence of reduced H3K4me3 levels near promoters, leading to decreased transcriptional firing.

These observations suggest that gene architecture has evolved to incorporate the role of splicing in transcription regulation, in a manner analogous to gene-specific enhancers and promoters. Specifically, our data indicate that short first exons provide positive feedback to promoters and minimize splicing-dependent downstream initiation. Accordingly, genes with long first exons are less transcriptionally active on average. One half of all human genes have first exon lengths in the range of 128–350 nt (Figure S1A). Remarkably, these genes show a single peak of activating histone marks, which is perfectly positioned at first 5'SSs (Figures 1B and S2E). Conversely 25% of genes are shorter or longer than this optimal first exon length window, suggesting that these genes forego this splicing-dependent enhancer feature, either to enable other forms of regulation (e.g., by transcription factors), to permit low expression levels, or to promote alternative TSS usage.

How persistent this mode of splicing-dependent transcription regulation might be is an interesting question, because transcription and splicing are largely shut down during mitosis, upon heat shock, and in gametes and early embryos. Interestingly, transcriptionally inert sperm exhibit a similar distribution of H3K4me3 at first 5'SSs (Figure S1F), suggesting that prior transcription and splicing activity determines germ cell chromatin

signatures during gametogenesis (Hammoud et al., 2009). This indicates that the transcription and splicing history of genes could impact chromatin signatures and thereby subsequent phases of gene activity over longer periods.

How previous rounds of transcription and splicing contribute to the establishment of chromatin states in early development, including the intriguing interplay between activating and repressive marks seen among “bivalent” genes, remains to be investigated (Eissenberg and Shilatifard, 2010; Vastenhouw and Schier, 2012).

EXPERIMENTAL PROCEDURES

Cell Culture and Treatments

HeLa Kyoto cells were cultured in high glucose (4.5 g/l) DMEM GlutaMax (Invitrogen) supplemented with 100 U/ml penicillin, 100 μ g/ml streptomycin (penicillin/streptomycin, PAA) and 10% (v/v) fetal bovine serum (FBS, Invitrogen) at 37°C and 5% CO₂. When culturing BAC transgenic cell lines, selection was carried out with 200 μ g/ml hygromycin B (Roche). *FOS* model genes were induced by 2h serum starvation in DMEM GlutaMax without FBS followed by 15 min addition of 5 μ M calcimycin (A-23187 free acid, Invitrogen). For splicing inhibition, cells were treated with 100 ng/ml spliceostatin A (SSA, kindly provided by Minoru Yoshida, RIKEN Advanced Science Institute, Japan) (Kaida et al., 2007) or control-treated with 0.1% (v/v) methanol for 3 hr. Transcription was inhibited by addition of 100 μ M DRB for 6 hr. For control treatment, an equal volume of the solvent DMSO was used.

BAC Recombineering

The BAC clone RP24-208N11 harboring the mouse *FOS* locus was obtained from the BACPAC Resources Center. For selection in eukaryotic cells, a hygromycin resistance cassette (kindly provided by Francis Stewart) was introduced 10 kb downstream of the *FOS* gene using Red/ET recombineering (Zhang et al., 1998). Model genes based on the mouse *FOS* gene were constructed by Red/ET recombineering using the Gene Bridges Counter Selection Kit.

Antibodies

The following commercial antibodies were used in this study: α -H3K4me3 (Millipore 07-473), α -H3 (Abcam ab1791), and α -IgG (Sigma I-5256).

Chromatin Immunoprecipitation

Chromatin Immunoprecipitation and qPCR procedures were modified from Listerman et al. (2006). Magnetic Dynabeads Protein G (Invitrogen) were used for immunoprecipitation. A list of primers used for qPCR and a more detailed protocol is provided in the [Extended Experimental Procedures](#).

RNA-Extraction and RT-qPCR

Cells were grown to 90% confluency on a 6-well plate ($\sim 10^6$ cells), RNA isolated using TRIzol extraction (Invitrogen), precipitated, resuspended in nuclease free H₂O and DNase-treated with DNasefree (Ambion). Reverse transcription was performed using Superscript III (Invitrogen) with 0.5–5 μ g RNA per reaction. For reverse transcription of mRNA, a gene specific primer in the terminal exon was used. Nascent RNA was selected, using a reverse primer located downstream of the annotated poly(A) cleavage site (Carrillo Oesterreich et al., 2010; Pandya-Jones and Black, 2009). The cDNA was analyzed by conventional or quantitative PCR. A list of primers and a detailed description of the qPCR analysis is provided in the [Extended Experimental Procedures](#).

Global Transcriptional Activity

To measure transcriptional activity, radioactive orthophosphate (32 P, 200 μ Ci) was added to HeLa Kyoto cells grown to 70% confluency on 6-well plates in Phosphate free medium (Invitrogen). After further incubation for 1 hr or 5 min cells were lysed and total RNA extracted using the TRIzol reagent (Invitrogen). RNA was precipitated, resuspended in H₂O and incorporation of radioactive nucleotides into the RNA measured by scintillation counting. Values gained from treated cells were normalized to untreated controls.

Genome-wide Analysis

For detailed information on data sets and methods employed for genome-wide analysis please refer to the [Extended Experimental Procedures](#).

SUPPLEMENTAL INFORMATION

Supplemental Information includes Extended Experimental Procedures, five figures, and three tables and can be found with this article online at <http://dx.doi.org/10.1016/j.celrep.2012.05.019>.

LICENSING INFORMATION

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WEB RESOURCES

The URLs for data presented herein are as follows:

BACPAC Resources Center, <http://bacpac.chori.org/>
 ENCODE-Broad-Histone, <http://hgdownload.cse.ucsc.edu/goldenPath/hg19/encodeDCC/wgEncodeBroadHistone/>
 ENCODE-Caltech-RNASeq, <http://hgdownload.cse.ucsc.edu/goldenPath/hg19/encodeDCC/wgEncodeCaltechRnaSeq/>
 ENCODE-HAIB-TFBS, <http://hgdownload.cse.ucsc.edu/goldenPath/hg19/encodeDCC/wgEncodeHaibTFbs/>
 ENCODE-LICR-Histone, <http://hgdownload.cse.ucsc.edu/goldenPath/mm9/encodeDCC/wgEncodeLicrHistone/>
 ENCODE-Stanf-Nucleosome, <http://hgdownload.cse.ucsc.edu/goldenPath/hg19/encodeDCC/wgEncodeSydhNsome/>
 ENCODE-SYDH-Histone, <http://hgdownload.cse.ucsc.edu/goldenPath/hg19/encodeDCC/wgEncodeSydhHistone/>

ENCODE-SYDH-TFBS, <http://hgdownload.cse.ucsc.edu/goldenPath/hg19/encodeDCC/wgEncodeSydhTFbs/>
 ENCODE-UW-Histone, <http://hgdownload.cse.ucsc.edu/goldenPath/hg19/encodeDCC/wgEncodeUwHistone/>
 FastQC, <http://www.bioinformatics.bbsrc.ac.uk/projects/fastqc/>
 Primer3Plus, <http://www.bioinformatics.nl/cgi-bin/primer3plus/primer3plus.cgi>
 The R Project for Statistical Computing, <http://www.r-project.org/>
 RefSeq, hg19, <http://www.ncbi.nlm.nih.gov/RefSeq/>
 University of California, Santa Cruz Encyclopedia of DNA Elements (ENCODE), <http://genome.ucsc.edu/ENCODE/>

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EXTENDED EXPERIMENTAL PROCEDURES

Generation of Stable HeLa Cell Lines

BACs were purified from *E.coli* DH10B with the NucleoBond BAC 100 kit (Macherey-Nagel) and transfected into HeLa Kyoto cells using Effectene transfection reagent (QIAGEN) according to manufacturer's instructions.

Chromatin Immunoprecipitation and qPCR

Chromatin immunoprecipitation (ChIP) was performed as described previously (Listerman et al., 2006; Sapra et al., 2009). In brief, cells were plated on 14cm dishes and grown to confluency ($\sim 10^8$ cells/plate). After formaldehyde crosslinking (1%, 10min at RT), cells were harvested and lysed in SDS lysis buffer (1% (w/v) SDS, 10mM EDTA, 50mM Tris-HCl pH 8.1, 1x protease inhibitor cocktail (Roche)). Sonication was used to shear the DNA into fragments of ~ 200 bp in length. The lysate was cleared from cell debris by centrifugation (20,000xg, 10min, 4°C) and the protein concentration was determined by Bradford assay. 3mg of total protein in lysate was used per IP and diluted in 2ml ChIP dilution buffer (0.01% (w/v) SDS, 1.1% (v/v) Triton X-100, 1.2mM EDTA, 16.7mM Tris-HCL pH 8.1, 167mM NaCl, 1x protease inhibitor cocktail (Roche)). 25% of the starting material was saved as input. Immunoprecipitation was performed over night at 4°C with rotation. The antibody-chromatin complexes were captured by addition of 18 μ l Dyna beads G (Invitrogen) for 1h at 4°C with rotation. The beads were fixed in a magnetic rack and washed once with each of the following washing buffers: Low Salt Immune Complex wash buffer (0.1% (w/v) SDS, 1% (v/v) Triton X-100, 2 mM EDTA, 20 mM Tris-HCL pH 8.1, 150 mM NaCl), High Salt Immune Complex wash buffer (0.1% (w/v) SDS, 1% (v/v) Triton X-100, 2mM EDTA, 20mM Tris-HCL pH 8.1, 500 nM NaCl), LiCl Immune Complex wash buffer (0.25 M LiCl, 1% (v/v) NP-40, 1% (w/v) deoxycholic acid, 1mM EDTA, 10mM Tris-HCl pH 8.1), 1x TE (10mM Tris-HCl pH 8.1, 1mM EDTA). The chromatin complexes were eluted from the beads by addition of elution buffer (1% (w/v) SDS, 0.1 M NaHCO₃, 2x 15min with rotation at RT). After de-crosslinking and proteinase K treatment (6h at 65°C, 178mM NaCl, 20 μ g/ml Proteinase K (Merck)), the DNA was purified by phenol-chloroform extraction. Contaminating RNA was removed by addition of RNase A after resuspending the DNA in ddH₂O. DNA recovery was measured for regions of interest by qPCR with SYBRGreen (Thermofisher Scientific). The relative amount of DNA is calculated as enrichment over input using the following equation: $\Delta Ct = 100 \cdot 2^{(Ct_{input} - Ct_{IP})}$ and if applicable normalized to an intergenic gene desert region as $\Delta\Delta Ct = \Delta Ct_{\text{experiment}} / \Delta Ct_{\text{control}}$.

Antibodies

Rabbit polyclonal antibodies against H3K4me3 were obtained from Millipore (07-473) and tested by dot blot for specificity and cross-reactivity with H3K4me2 prior to use (Egelhofer et al., 2011). 5 μ l of the H3K4me3 antibody were used per IP. To detect total H3, a rabbit polyclonal antibody from Abcam (ab1791) was used at 5 μ g/IP. To assess background levels a mock-IP was performed, using IgG from goat serum obtained from Sigma (I-5256) at 5 μ g/IP.

RNA-Extraction and RT-qPCR: Continued

For normalization, ribosomal 18S rRNA was reverse transcribed with a gene-specific primer. The cDNA was analyzed by quantitative PCR with SYBRGreen (Stratagene MXPro 3000, Thermofisher Scientific). To calculate relative RNA concentrations, the following equation was used: $\Delta Ct = 2^{(Ct_{\text{norm}} - Ct_{\text{target}})}$, where Ct_{norm} is the threshold cycle (Ct) of normalizer (18S rRNA) and Ct_{target} the Ct of the transcript of interest.

Primer Design and Efficiency Test for qPCR

Primers were designed for an annealing temperature of 60°C using Primer3Plus (Untergasser et al., 2007; see Web Resources). For qPCR, each primer pair was subjected to specificity and efficiency tests to find optimal primer and template concentrations. Amplification as well as dissociation curves were determined, and the resulting PCR products were analyzed on an agarose gel. Only primer pairs that showed a single specific band at the expected size, but no product and no Ct in the H₂O control and that displayed a single sharp peak in the dissociation curve were considered specific. For those primer pairs, the amplification efficiency was determined by plotting the Ct values over the logarithm to the base 10 of template dilution. The slope of the resulting linear function was determined, and the primer efficiency was calculated as $E [\%] = 100 \cdot ((10^{(1/\text{slope})})/2)$. Only primer pairs with efficiencies of 90 – 110% were accepted.

Primers

For primer sequences and positions please refer to Table S2.

Genome Annotations

All annotation information was retrieved from the UCSC genome browser database (Fujita et al., 2011). For analysis of high throughput sequencing experiments, genome build hg19 (human) or mm9 (mouse) was used. For analysis of the study employing the Affymetrix GeneChip Human Tiling 2.0R E arrays (based on hg18 annotation; Kaida et al., 2010) genome build hg18 was used.

Mapping and Deriving Genomic Distributions of Histone Marks and Transcription Components.

To identify adaptor sequences and assess overall quality raw reads were analyzed using FastQC. Adaptor sequences were removed and reads trimmed to match our quality criteria (Quality value per base > 30 and read length > 15), using cutadapt (Martin, 2011). Raw reads were mapped to the human genome (hg19, (Fujita et al., 2011)), using bowtie (Langmead et al., 2009) or tophat (Trapnell et al., 2009). Mapped reads were converted into genomic distributions, employing free software (Li et al., 2009; Quinlan and Hall, 2010) in combination with custom scripts available upon request. Alternatively, genomic distributions were downloaded if available for hg19. Specific regions around gene-architecture hallmarks were extracted and averaged, using custom software.

Determination of Transcription Initiation Events

To qualitatively describe unique transcriptional start sites (i.e., unique 5' ends of mRNAs) at nucleotide precision, we determined the 5' ends of expressed sequence tags (EST) and generated a map, yielding genome-wide distributions of initiation events. The tools described above were employed for analysis.

Plotting and Statistical Testing

Plotting as well as statistical tests were performed in "R" (The R Project for Statistical Computing; see Web Resources). To test for statistical significant differences between two samples, either a Welch Two Sample t test (normally distributed data) or a Mann-Whitney test (not normally distributed data) was used.

Outline of Data Analysis Used in Each Figure

1. Figure 1:

- Exons were extracted from annotated intron-containing, protein-coding transcripts (RefSeq, hg19 (Fujita et al., 2011)) and grouped according to their relative position in the transcript. H3K4me3 and H3K9ac abundance measured by ChIPSeq (ENCODE-SYDH-Histone) was determined for 1kb up- and downstream of the first, second and third 5'SSs for each gene. Average signals were determined and plotted versus the distance to the centered feature (first, second or third 5'SS).
- First exons were extracted from annotated intron-containing, protein-coding transcripts (RefSeq, hg19 (Fujita et al., 2011)) and grouped according to their size in nucleotide length. H3K4me3 and H3K9ac abundance, measured by ChIPSeq (ENCODE-SYDH-Histone), was determined for 1kb up- and downstream of the first 5'SS for each gene in each group. Average signals were determined for each first exon length group and plotted versus the distance to the first 5'SS.

2. Figure 4:

- First exons were extracted from annotated intron-containing, protein-coding transcripts (RefSeq, hg19 (Fujita et al., 2011)) and grouped according to nucleotide length. TFIID (TAF1 ChIPSeq, ENCODE-HAIB-TFBS) and Pol II abundance (ENCODE-SYDH-TFBS) was determined for 1kb up- and downstream of the TSS for each transcript. Average signals were determined for each first exon length group and plotted versus the distance to TSSs.
- See (a).
- To qualitatively describe unique transcriptional start sites (i.e., unique 5' ends (Fujita et al., 2011)) at nucleotide precision, we determined the 5' ends of expressed sequence tags (ESTs) and generated a map yielding genome-wide distributions of initiation events. First exons were extracted from annotated intron-containing, protein-coding transcripts (RefSeq, hg19 (Fujita et al., 2011)) and grouped according to their size. Transcription initiation events were determined for 1kb up- and downstream of the annotated gene start for each gene in each group. Average signals were determined for each first exon length group and plotted versus the distance to the TSS. Raw data was normalized to the maximum signal and blurred to increase visibility. Individual data (raw and blurred) for each exon length group is given in Figure S5.
- Transcription initiation events described in c, were analyzed with respect to their orientation relative to the orientation of the annotated gene. First exons were extracted from annotated intron-containing, protein-coding transcripts (RefSeq, hg19 (Fujita et al., 2011)) and grouped according to their size. Transcription initiation events were separately determined for sense and antisense orientation for 1kb up- and downstream of the TSS for each gene in each group. The fraction of sense transcription was determined (sense/(sense+antisense)) for each gene. Distribution of the sense fraction was visualized by boxplots and tested for statistical significance (Mann-Whitney test).

3. Sup Figure 1

- Exons were extracted from annotated intron-containing, protein-coding transcripts (RefSeq, hg19; Fujita et al., 2011) and grouped according to their relative position in the transcript (left panel). Exon length was extracted and distributions visualized by frequency distribution histograms (middle panel) or boxplots (right panel).
- TSSs and first 5'SSs were extracted from annotated intron-containing, protein-coding transcripts (RefSeq, hg19; Fujita et al., 2011). H3K4me3 (ENCODE-SYDH-Histone) and H3K9ac (ENCODE-SYDH-Histone) abundance measured by ChIPSeq was determined for 1kb up- and downstream of the TSS or the first 5'SS respectively for each transcript. Average signals were determined and plotted versus the distance to the centered feature (TSS or first 5'SS).
- The distance between TSS and second 5'SS was determined for all annotated intron-containing, protein-coding transcripts (RefSeq, hg19 (Fujita et al., 2011)). Genes were grouped according to this distance and H3K4me3 and H3K9ac abundance,

measured by ChIPseq (ENCODE-SYDH-Histone), was determined for 1kb up- and downstream of the second 5'SS for each transcript. Average signals were determined and plotted versus the distance to the second 5'SS.

- d. H3K4me3 and H3K9ac distributions at first 5'SSs in NT2 cells (ENCODE-SYDH-Histone) and human embryonic stem cells (ENCODE-Broad-Histone; ENCODE-UW-Histone) were analyzed as described for [Figure 1B](#).
- e. H3K4me3 and H3K9ac distributions at first 5'SS in adult mouse tissue (8 week old adult; ENCODE-LICR-Histone) were analyzed as described for [Figure 1B](#). First 5'SS positions and first exon length were extracted from mm9 ([Fujita et al., 2011](#)).
- f. H3K4me3 distribution at first 5'SSs in human sperm ([Hammoud et al., 2009](#)) was analyzed as described for [Figure 1B](#).

4. Sup Figure 2

- a. Average distributions of H3K4me1 (ENCODE-SYDH-Histone) and H3K4me2 (ENCODE-Broad-Histone) at first 5'SSs in K562 cells were analyzed as described for [Figure 1B](#).
- b. Average distribution of H3K27ac at first 5'SSs in K562 cells (ENCODE-Broad-Histone) was analyzed as described for [Figure 1B](#).
- c. Average distribution of H3K36me3 at first 5'SSs in K562 cells (ENCODE-Broad-Histone) was analyzed as described for [Figure 1B](#).
- d. Average distribution of H3K79me2 at first 5'SSs in K562 cells (ENCODE-Broad-Histone) was analyzed as described for [Figure 1B](#).
- e. H3K4me3 distribution at first 5'SSs in K562 cells (ENCODE-SYDH-Histone) was analyzed for genes with very short first exons (≤ 250 nt) by analogy to [Figure 1B](#).
- f. Nucleosome distribution around TSSs (left panel) and 5'SSs (right panel) in K562 cells (ENCODE-Stanf-Nucleosome) was analyzed as described for the analysis around first 5'SS described for [Figure 1B](#).
- g. TSSs were extracted from annotated intron-containing, protein-coding transcripts (RefSeq, hg19; [Fujita et al., 2011](#)). H3K4me3 (ENCODE-SYDH-Histone) and nucleosome (ENCODE-Stanf-Nucleosome) abundance was determined for a window of 2kb starting at the TSS for each transcript. Nucleosome and H3K4me3 abundance traces were multiplied and averaged over all genes. Average values were plotted versus distance to the TSS.

5. Sup Figure 3

- a. TSSs were extracted from annotated intron-containing, protein-coding transcripts (RefSeq, hg19; [Fujita et al., 2011](#)). H3K4me3 and H3K9ac abundance, measured by ChIPseq (ENCODE-SYDH-Histone), was determined for a window of 20kb centered at the TSS for each gene. H3K4me3 was auto-correlated with delays of -2000 nt to 2000 nt (step size: 1nt) for each gene. H3K4me3 was also cross-correlated with H3K9ac abundance, using the same delays. Correlation values for each gene were averaged and plotted versus delay.

6. Sup Figure 4

- a. Change in HeLa RNA concentration upon treatment with SSA was analyzed by tiling microarray experiments performed in a previous study ([Kaida et al., 2010](#)). Microarray data was reformatted to gain genomic distributions. First 5'SSs were extracted from intron-containing, protein coding transcripts (RefSeq, hg18 ([Fujita et al., 2011](#))). Change of RNA concentrations 1kb up- and downstream of first 5'SSs was extracted and averaged over all genes present on the tiling microarray (chr5, chr7, chr16). Fold change (Log2) was plotted versus distance to first 5'SSs.

7. Sup Figure 5

- a. TFIIB (ENCODE-SYDH-TFBS) and TFIIF (ENCODE-SYDH-TFBS) distributions around TSSs in K562 cells were analyzed as described for [Figure 4A](#).
- b. Distribution of TFIID (ENCODE-HAIB-TFBS), TFIIB (ENCODE-SYDH-TFBS) and TFIIF (ENCODE-SYDH-TFBS) around first 5'SSs in K562 cells were analyzed as described for [Figure 1B](#).
- c. Distributions of Pol II (ENCODE-SYDH-TFBS) were analyzed as described for [Figure 1B](#). Distribution of initiation events ([Fujita et al., 2011](#)) was calculated as described for [Figure 4C](#) and analyzed with respect to distribution around first 5'SSs as described for [Figure 1B](#).
- d. RNA expression (FPKM) values were gained by mapping RNASeq reads (ENCODE-Caltech-RNASeq) to the hg19 genome ([Fujita et al., 2011](#)) using Tophat ([Trapnell et al., 2009](#)). Genes were grouped based on first exon lengths and distribution of expression values represented by boxplots. Statistical significance of differences between groups was tested (Mann-Whitney test).
- e. Abundance of initiation events around TSSs was determined as described in [Figure 4B](#). Raw as well as blurred data was plotted for each gene group individually.

Data-Sets

For detailed information for each public data-set used please refer to [Table S3](#). All data-sets generated by ENCODE Consortium ([ENCODE Project Consortium, 2011](#)) used in this study were downloaded from the ENCODE project hosted at University of California, Santa Cruz ENCODE browser. Direct links to the data-sets are given in the [Web Resources](#).

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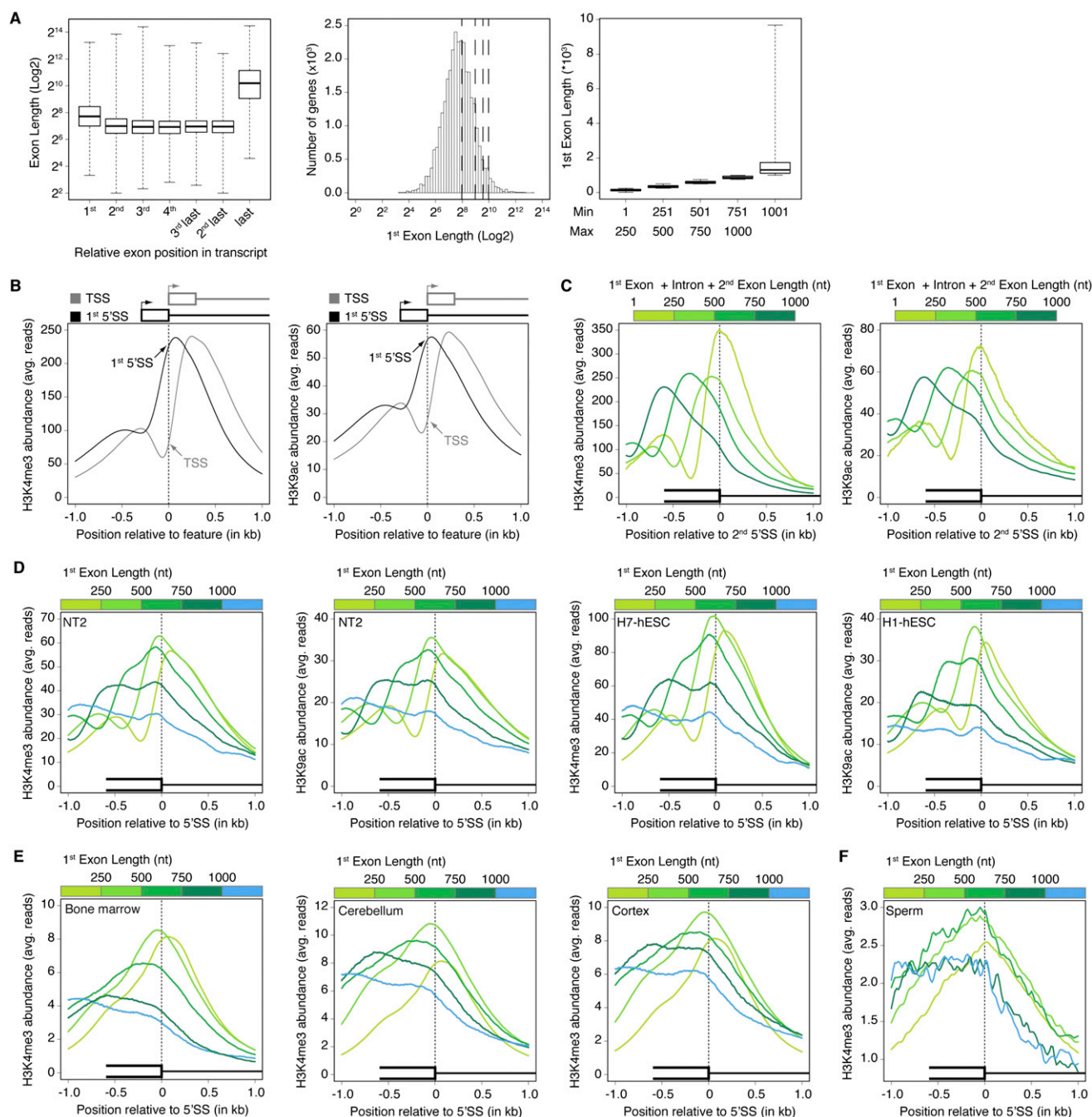


Figure S1. Properties of First Exons, Related to Figure 1

(A) Exons at different transcript positions (indicated at bottom: 1st - most 5'; 2nd - second most 5'; ...; 2nd last - second most 3'; last - most 3') were extracted from annotated intron-containing, protein coding transcripts and their length distribution (Log2) represented as boxplots (left panel). While internal exons have a similar length distribution throughout the whole transcript (2nd, 3rd, 4th, 3rd last and 2nd last), both first and last exons are significantly larger than internal ones (p -value $< 2.2 \times 10^{-16}$). Boxes represent values within three quartiles of the data, while whiskers indicate the range of the data set. Black vertical lines in the respective boxes show median values.

Lengths of 1st exons were determined for each annotated intron-containing, protein-coding gene in the human genome (RefSeq hg19 (Fujita et al., 2011), for gene numbers please refer to Table S1). Visualizing first exon lengths (Log2) by frequency distribution indicates that the values are log-normally distributed around a mean of $2^{7.719}$ with a standard deviation of $2^{1.12}$ (middle panel). Size boundaries used for grouping first exon lengths are indicated by vertical dotted lines in the histogram. Boxplot representation of first exon lengths delimited by minimal and maximal lengths (right panel, minimal and maximal for each group are indicated at the bottom). First exon length groups described here are used throughout this manuscript (for gene numbers please refer to Table S1). Due to high length

diversity the group containing largest first exons was omitted in the majority of analyses performed. Boxes represent values within three quartiles of the data, while whiskers indicate the range of the data set. Black vertical lines in the respective boxes show median values.

(B) Distributions of H3K4me3 (left panel) and H3K9ac (right panel) were determined by analysis of genome-wide ChIP-seq experiments in human K562 cells (ENCODE-SYDH-Histone). Average distribution of the histone marks aligned to the transcriptional start site (TSS, gray) and first 5' splice site (5'SS, black). Arrows and vertical dotted lines indicate the position of the respective feature from which distance is plotted in nucleotides. Average distributions were calculated for all annotated intron-containing genes (Table S1).

(C) Average distribution of H3K4me3 (left panel) and H3K9ac (right panel) aligned to second 5'SSs of genes grouped by the distance between the TSS and the 2nd 5'SS (i.e., the sum of first exon, first intron and second exon lengths). Average traces were calculated for gene groups with distances shorter or equal to 250nt, from 251nt to 500nt, 501nt to 750nt and 751nt to 1kb (Table S1) as indicated above panels (light to dark shades of green, color code on top of each panel) and plotted relative to the distance to the second 5'SS. In contrast to equivalent distances between the TSS and the first 5'SS (Figure 1B), no peak is detected at second 5'SSs. This indicates that increased density of these activating marks at the 5'SS is specific to first 5'SSs and not determined by distance to the TSS only.

(D) Average distribution of H3K4me3 and H3K9ac aligned to 5'SSs of genes grouped by length of first exons (Grouping and color scheme indicated above, Table S1) plotted versus distance to first 5'SSs (dotted line). Upon separation of the TSS and 5'SS two distinct peaks of H3K4me3 and H3K9ac densities become apparent. Average distributions are shown for the human teratocarcinoma cell line NT2 (ENCODE-SYDH-Histone) and for two human embryonic stem cell lines (ENCODE-Broad-Histone; ENCODE-UW-Histone).

(E) Genome-wide H3K4me3 distribution in mouse (8 week old adult; ENCODE-LICR-Histone) bone marrow (left panel), cerebellum (middle panel) and cortex (right panel) was analyzed in respect to coverage around first 5'SSs. Average H3K4me3 distribution on genes grouped according to different first exon lengths (Grouping and color scheme indicated above, for gene numbers please refer to Table S1) was calculated and plotted versus distance to the first 5'SS. Upon separation of the TSS and 5'SS two distinct peaks of H3K4me3 densities become apparent.

(F) Genome-wide H3K4me3 distribution determined for transcriptionally inert human sperm (Hammoud et al., 2009) was analyzed in respect to first 5'SSs. To this end, average signal aligned to first 5'SSs of genes, grouped by length of the first exon (Grouping and color scheme indicated above, for gene numbers please refer to Table S1) is plotted versus distance to the 5'SS (dotted line). H3K4me3 peak values are reached around first 5'SSs in all gene groups, indicating that marking of first 5'SSs persists in the absence of transcription.

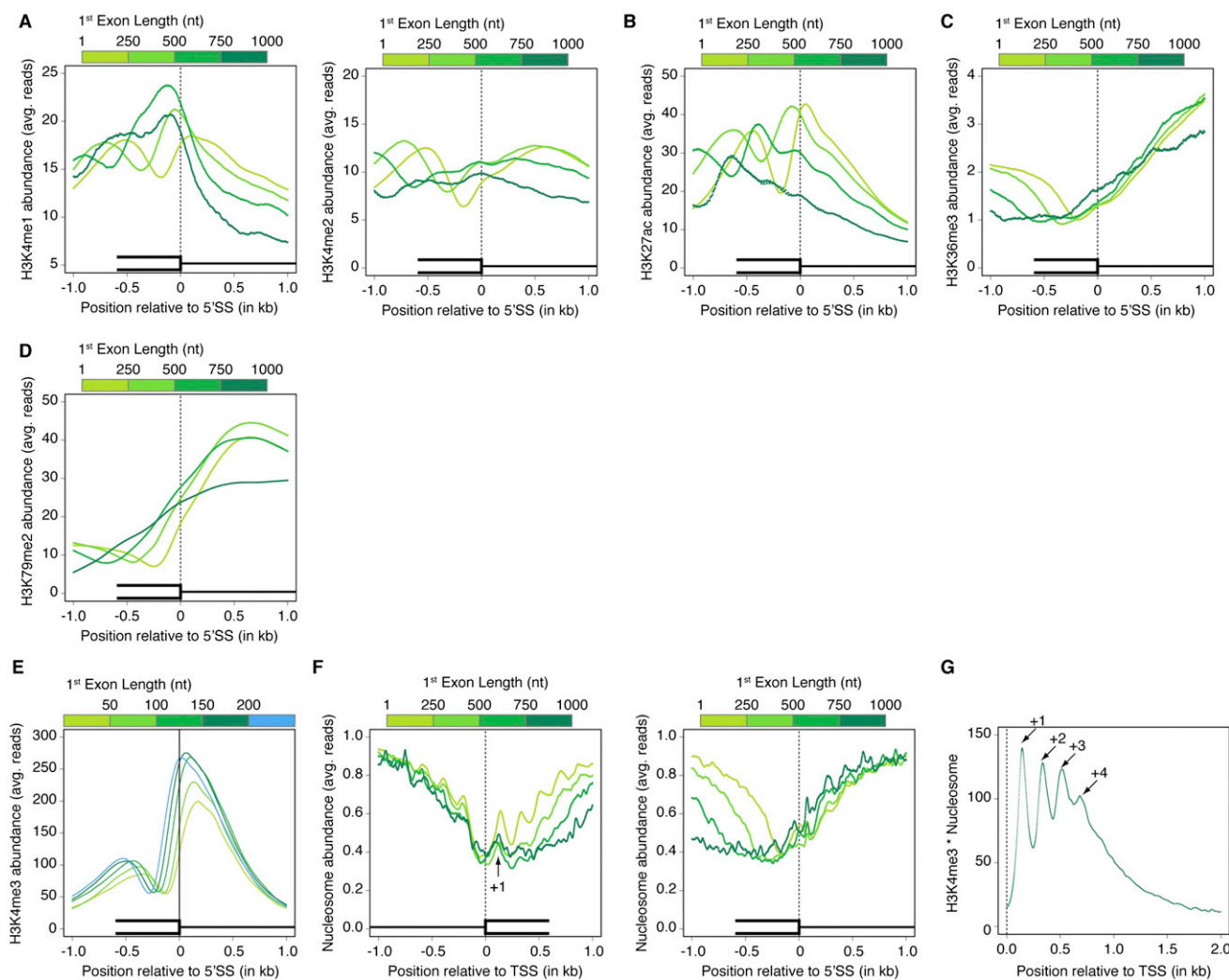


Figure S2. Analysis of Chromatin Environment at First 5'SSs, Related to Figure 1

(A–D) Average distribution of histone marks aligned to 5'SSs of genes grouped by length of first exons (Grouping and color scheme indicated above, Table S1) plotted versus distance to first 5'SSs (dotted line). Average distributions are shown for H3K4me1 and H3K4me2 (A), (ENCODE-Broad-Histone; ENCODE-SYDH-Histone), H3K27ac (B), (ENCODE-Broad-Histone), H3K36me3 (C), (ENCODE-Broad-Histone) and H3K79me2 (D), (ENCODE-Broad-Histone).

(E) Average distribution of H3K4me3 aligned to 5'SSs of genes with short first exons (Grouping and color scheme indicated above, Table S1) plotted versus distance to first 5'SSs (dotted line). These plots show that genes with very short first exons (<150nt) exhibit a downstream shift of the H3K4me3 peak, probably due to the location of the earliest occurring nucleosomes downstream of the promoter. This behavior is expected, based on the average spacing between the TSS and +1 nucleosome (Rahl et al., 2010).

(F) Nucleosome distribution centered at TSSs of genes grouped by length of the first exons (left panel, grouping and color scheme indicated above, for gene numbers please refer to Table S1). Average traces were calculated for each gene group and plotted versus distance to the TSS (dotted line). Local minima of the nucleosome distribution upstream of the TSS indicate the promoter region in each gene group. Local maxima downstream of the TSS (labeled +1) show the first positioned nucleosome present in each gene group. Average traces were calculated for each gene group and plotted versus distance to 5'SSs (right panel). Downstream of 5'SSs, nucleosome density increases simultaneously for all gene groups, with no evidence for a positioned nucleosome specifically at 5'SSs. (G) To test which nucleosomes positions are labeled by H3K4me3, both H3K4me3 (ENCODE-SYDH-Histone) and nucleosome traces (ENCODE-Stanf-Nucleosome) were extracted for a region of 2000nt starting from the TSS for each intron-containing, protein-coding gene (Table S1). To determine the region at which H3K4me3 coincides with positioned nucleosomes, signals were multiplied and averaged over all intron-containing, protein-coding transcripts. Average values were plotted versus distance to the TSS (dotted line). Periodic signal at gene starts indicates that H3K4me3 marks up to 4 nucleosomes after TSSs (+1, +2, +3 and +4, indicated by arrows).

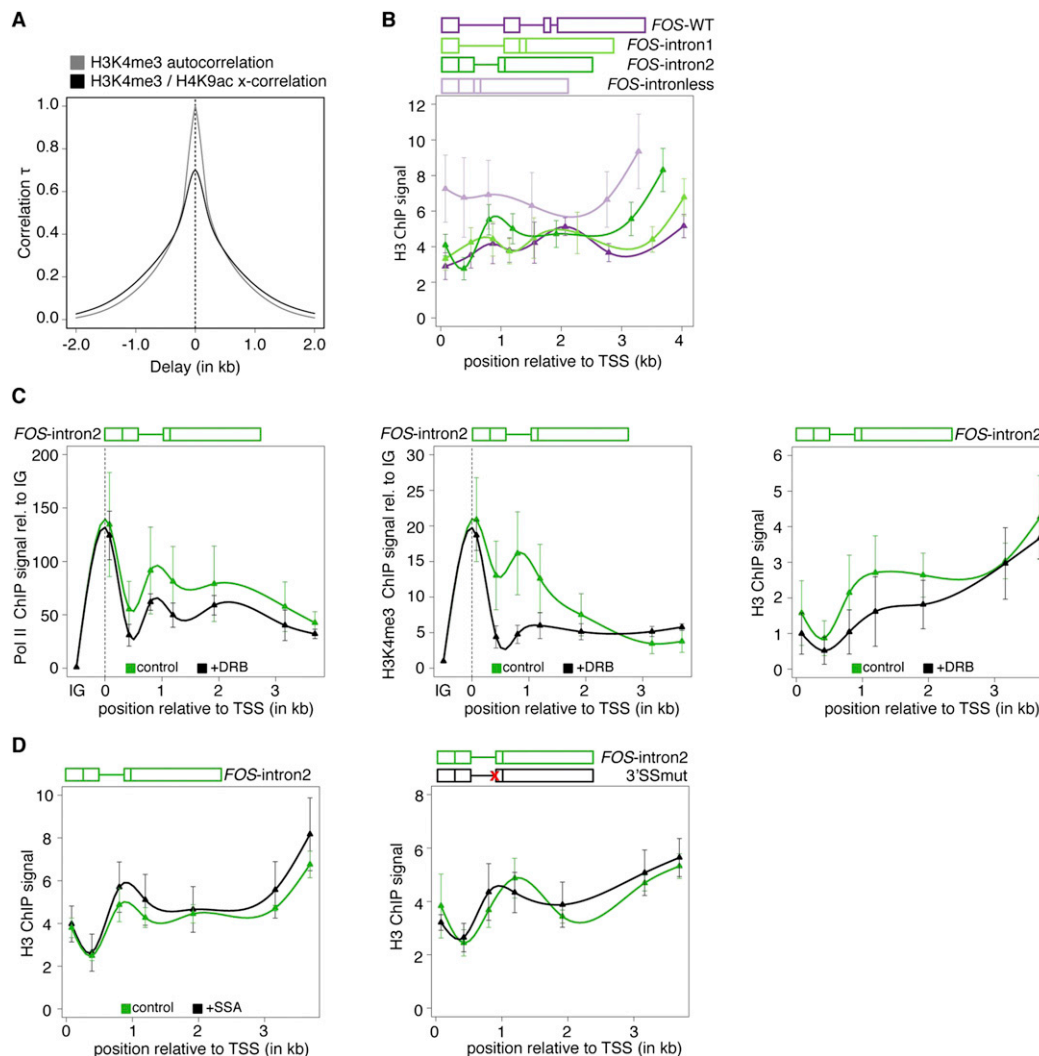


Figure S3. Nucleosome Profiles on *FOS* Model Genes, Related to Figure 2

(A) H3K4me3 autocorrelation (gray trace) and cross-correlation with H3K9ac signal (black trace) was calculated with a maximum delay of ± 2 kb for each gene. Average correlation values are plotted versus delay. H3K4me3 and H3K9ac are highly correlated ($\tau = 0.70$) at a delay of 0, corroborating a highly significant overlap of H3K4me3 with H3K9ac marks. Within the resolution of the underlying method, no systematic shift of H3K4me3 with respect to H3K9ac can be determined.

(B) Nucleosome distribution on integrated BACs carrying *FOS* model genes with different exon-intron organization was determined by total H3 ChIP. Exon-intron architecture for *FOS*-WT (containing all introns), *FOS*-intron1 and *FOS*-intron2 (containing a single intron) and *FOS*-intronless (lacking introns) are indicated above, aligned at respective TSSs and drawn to scale. Average ChIP values are plotted versus distance to the TSS. In agreement with decreased transcriptional activity, *FOS*-intronless showed slightly elevated nucleosome coverage compared to intron-containing model genes. Among the latter group, no significant difference was detected. The ChIP signal represents the enrichment of a region of interest in the immunoprecipitation sample (IP) relative to total genomic DNA (Input). Mean \pm SEM are shown, $n > 3$.

(C) Cells were treated with the transcription elongation inhibitor DRB for 6h before induction of *FOS*-intron2 and distribution of Pol II (left panel), H3K4me3 (middle panel) and H3 (right panel) were assayed by ChIP. While the promoter-proximal Pol II peak was not affected by DRB, a reduced Pol II occupancy was observed further downstream. Due to strong Pol II pausing downstream of the *FOS* promoter and release from it by induction, transcription of *FOS*-intron2 is not completely inhibited by DRB. Significantly, the H3K4me3 promoter-proximal peak was not affected, while the second, intron-proximal peak was severely reduced. Importantly, nucleosome distribution was not significantly affected by the DRB treatment as indicated by total H3 ChIP and thus cannot account for the specific changes observed for the H3K4me3 profile. ChIP enrichment was calculated as IP over Input (H3 ChIP right panel) and normalized to a transcriptional inactive intragenic region (IG, Pol II ChIP left panel and H3K4me3 ChIP middle panel). Mean \pm SEM are shown, $n = 3$.

(D) Total H3 ChIP was used to control for changes in nucleosome distribution on the *FOS*-intron2 model gene after splicing inhibition by 100ng/ml SSA for 3h compared to untreated control cells (left panel). A gene diagram of *FOS*-intron2 is indicated above and average ChIP values are plotted relative to the distance from the TSS. SSA treatment did not significantly affect nucleosome occupancy at *FOS*-intron2. Similarly, total H3 ChIP was used to assess the nucleosome distribution on *FOS*-intron2 when the 3'SS was mutated (right panel). No significant changes in nucleosome positioning were detected between the splicing competent model gene and when splicing was inhibited by mutation of the 3'SS. Thus, changes in the H3K4me3 profile cannot be attributed to changes in the underlying nucleosome distribution. ChIP enrichment was calculated as IP over Input. Mean \pm SEM are shown, $n = 4$.

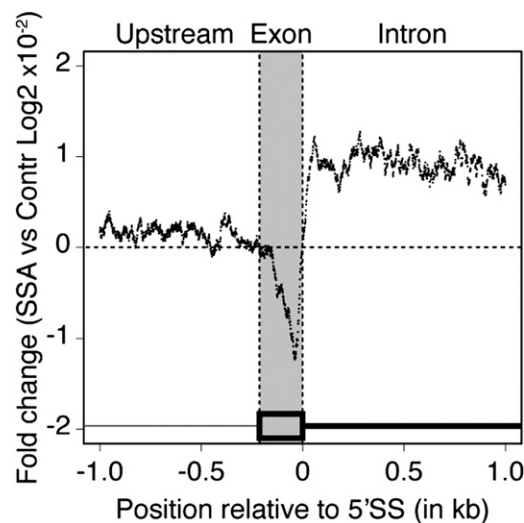


Figure S4. Effect of Splicing Inhibition by SSA on Steady-State mRNA, Related to Figure 3

Previous studies used tiling-microarrays spanning three human chromosomes (chr5, chr7, chr16) to assess the influence of splicing inhibition on total mRNA (Kaida et al., 2010). We tested whether the effects of SSA on transcriptional initiation are detected in this data set, assaying a pool of steady-state RNAs. To this end, we determined the change in sequence concentration upon SSA treatment for 1 kb up- and downstream of the first 5'SS of each intron-containing, protein-coding transcript (Table S1) present on these 3 chromosomes. Average fold change (Log2) is plotted versus distance to the first 5'SS. Regions covered by upstream sequences, median sized first exons (gray) and introns are indicated by vertical lines and labeled on top of the panel. While upstream levels remain unchanged, intronic sequence concentration is elevated, agreeing with splicing inhibition. Interestingly, first exon concentrations are reduced to about the same level. The prominent dip in relative signal upstream of the first 5'SS indicates decreased expression of first exons upon SSA treatment, which is an expectation of the hypothesis that splicing stimulates transcription.

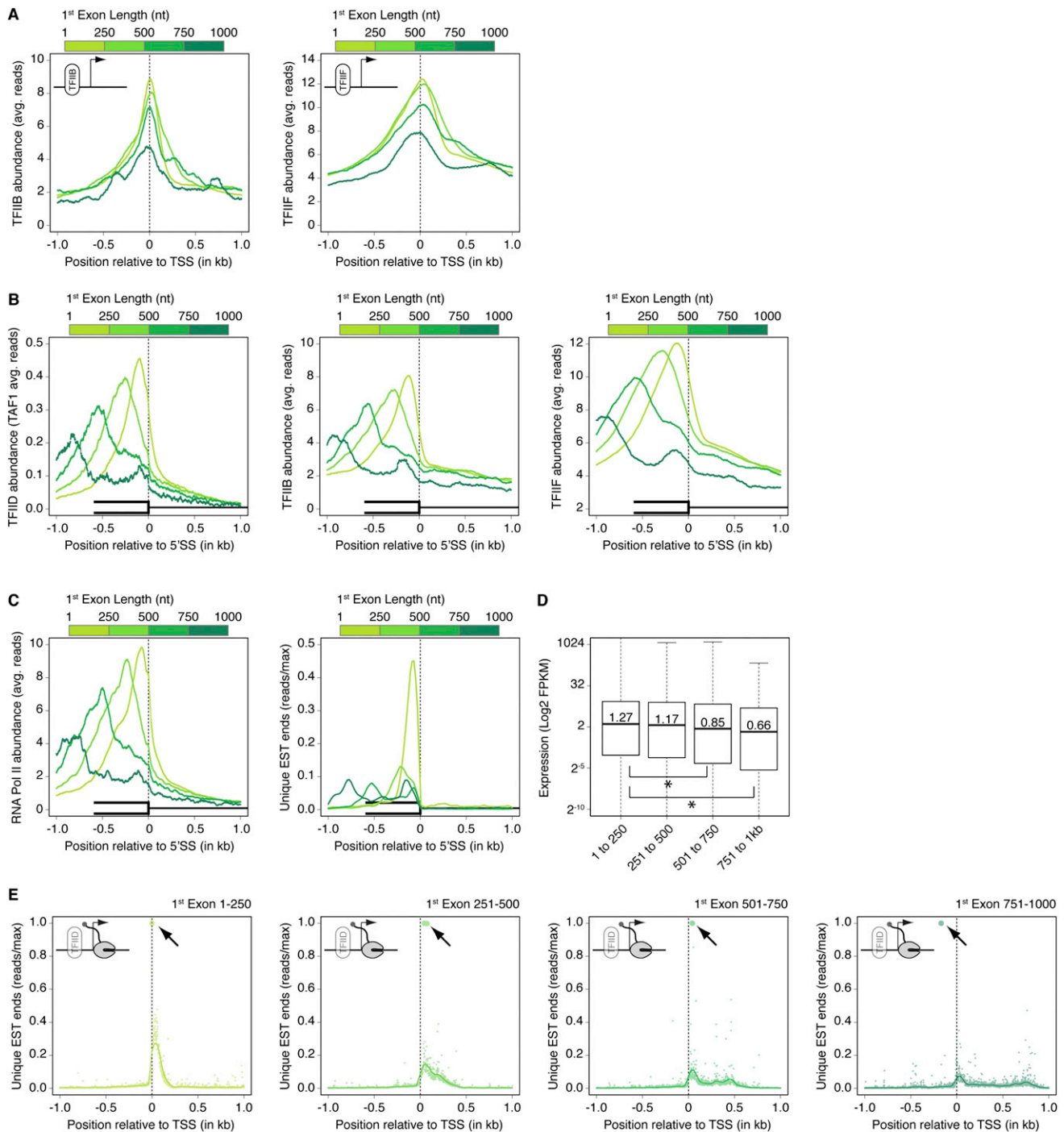


Figure S5. Effect of First Exon Length on Transcription Initiation, Related to Figure 4

(A) Average distributions of general transcription factors TFIIB and TFIIF (ENCODE-SYDH-TFBS) around TSSs of genes grouped according to their first exon length (Grouping and color scheme indicated above, [Table S1](#)) were determined and plotted versus distance to the TSS (dotted line). For all gene groups, maximal values are observed around TSSs. In agreement with impaired transcription initiation, peak values decrease with increasing first exon length. Interestingly, abundance of both factors increase in downstream regions for long first exons.

(B) Average distribution of the general transcription factors TFIID (ENCODE-HAIB-TFBS) (left panel), TFIIB (ENCODE-SYDH-TFBS) (middle panel) and TFIIF (ENCODE-SYDH-TFBS) (right panel) around first 5'SSs of genes grouped according to their first exon length (Grouping and color scheme indicated above, [Table S1](#)) was determined and plotted versus the distance to first 5'SSs (dotted line). Upon spatial separation of TSS and first 5'SS in long first exons, increased TFIID recruitment in between these features becomes visible. This is in agreement with recruitment of TFIID to H3K4me3 deposited around first 5'SSs.

(C) Pol II distribution (ENCODE-SYDH-TFBS) around first 5' SSs was analyzed as described for [Figure S5B](#) (left panel). In agreement with recruitment of Pol II by general transcription factors, Pol II patterns follow TFIIID patterns, leading to increased Pol II density throughout the entire first exon. To test whether Pol II molecules recruited throughout first exons initiate transcription, average distribution of initiation events (5' ends of unique EST tags; [Fujita et al., 2011](#)) around first 5' SSs of genes groups (Grouping and color scheme indicated above, [Table S1](#)) was determined and plotted versus distance to first 5' SSs (right panel). Upon separation of TSS and first 5' SS (larger first exons) a secondary enrichment of initiation events around first 5' SS become apparent. Thus, at least a subset of Pol II molecules recruited downstream of annotated gene starts are indeed initiating.

(D) Steady state mRNA expression values were determined for each intron-containing, protein-coding transcript (hg19, RefSeq) using RNA-Seq data (ENCODE-Caltech-RNASeq). Distribution of expression levels (Log2 FPKM) was plotted for genes grouped by first exon length (indicated at bottom). Asterisks indicate statistical significance between groups of genes (Mann-Whitney test, p-Value < 0.001). Boxes represent values within three quartiles of the data, while whiskers indicate the range of the data set. Black vertical lines in the respective boxes show median values. Median values are given inside boxes.

(E) Initiation was measured by extracting the 5' ends of unique EST tags. Average distribution of these distinct initiation events around annotated gene starts (TSS, dotted line) was calculated for genes grouped by first exon length (Grouping indicated above, for gene numbers please refer to [Table S1](#)). Raw (single data points) and blurred data (lines) are plotted versus distance to the annotated gene start (dotted line). Peak initiation values were detected around annotated gene starts for all gene groups (data points with increased diameter, marked by arrows). Interestingly, increased initiation downstream of the annotated gene start is detected with increasing first exon length.